


Nomination Form

[Annexure A to SEBI circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/23 dated February 24, 2022 on Nomination for Eligible Trading and Demat Accounts]

 <p>Member of NSE, BSE, CDX, MCK & NCDEX Think Growth, Think Smart</p> <p>F-88, West District Centre, Shivaji Enclave, Rajouri Garden Opp-TDI Paragon Mall New Delhi-100027</p>										<p>FORM FOR NOMINATION</p> <p><i>(To be filled in by individual applying singly or jointly)</i></p>															
Date	D	D	M	M	Y	Y	Y	Y	UCC/ DP ID	I	N							Client ID							
I/We wish to make a nomination. [As per details given below]																									
Nomination Details																									
I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.																									
Nomination can be made upto three nominees in the account.										Details of 1st Nominee				Details of 2nd Nominee				Details of 3rd Nominee							
1		Name of the nominee(s) (Mr./Ms.)																							
2		Share		o		Each Nominee		Equally <small>[If not equally, please specify percentage]</small>		%				%				%							
<i>Any odd lot after division shall be transferred to the first nominee mentioned in the form.</i>																									
3		Relationship With the Applicant(If Any)																							
4		Address of Nominee(s)																							
		City / Place: State & Country:																							
				PIN Code																					
5		Mobile / Telephone No. of nominee(s) #																							
6		Email ID of nominee(s) #																							
7		Nominee Identification details # [Please tick any one of following and provide details of same] <input type="checkbox"/> Photograph & Signature PAN <input type="checkbox"/> Aadhaar Saving Bank account no. <input type="checkbox"/> Demat Account ID								<div style="border: 1px solid black; width: 100px; height: 100px; margin: 0 auto;"> NOMINEE PHOTO & SIGNATURE </div>															
Sr. Nos. 8-14 should be filled only if nominee(s) is a minor:																									
8		Date of Birth {in case of minor nominee(s)}																							
9		Name of Guardian (Mr./Ms.) {in case of minor nominee(s)}																							
10		Address of Guardian(s)																							



	City / Place: State & Country:					
		PIN Code				
11	Mobile / Telephone no. of Guardian #					
12	Email ID of Guardian #					
13	Relationship of Guardian with nominee					
14	Guardian Identification details # [Please tick any one of following and provide details of same] <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN account no. Proof of Identity <input type="checkbox"/> Demat Account ID					
Name(s) of holder(s)						Signature(s) of holder*
Sole / First Holder (Mr./Ms.)						
Second Holder (Mr./Ms.)						
Third Holder (Mr./Ms.)						

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature
 # Optional Fields (Information required at Serial nos. 5, 6, 7, 11, 12 & 14 is not mandatory)

Note:

This nomination shall superse de any prior nomination made by the account holder(s), if any.

The Trading Member / Depository Participant shall provide acknowledgement of the nomination form to the account holder(s)

Name and Signature of Holder(s)*		
1. _____	2. _____	3. _____

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature



Declaration Form for opting out of nomination
[Annexure B to SEBI circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2021/601 dated July 23, 2021 on Mandatory Nomination for Eligible Trading and Demat Accounts]

To	Date	D	D	M	M	Y	Y	Y	Y
Smart Equity Brokers Pvt Ltd F-88, West District Centre, Shivaji Enclave, Rajouri Garden Opp-TDI Paragon Mall New Delhi-100027									
UCC/DP ID	I	N							
Client ID (only for Demat account)									
Sole/First Holder Name									
Second Holder Name									
Third Holder Name									
I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account.									
Name and Signature of Holder(s)*									
<div style="display: flex; justify-content: space-between;"> 1. _____ 2. _____ 3. _____ </div>									

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature